

JFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR 1.97**

Docket No.  
**46521-56177**

In Re Application Of:

**McCormick, James B.**

Serial No.  
**10/723,692**

Filing Date  
**11/26/2003**

Examiner  
**Ludlow, Jan M.**

Group Art Unit  
**1743**

Title:

**Histological Specimen Retaining Device**



Address to:  
**Assistant Commissioner for Patents**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), and before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

**37 CFR 1.97(d)**

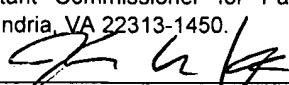
- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and before the payment of the issue fee, and is accompanied by both the statement specified in 37 CFR 1.197(e), and the fee set forth in 37 CFR 1.17(p)

  
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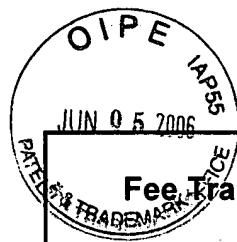


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Kevin M. Kercher

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JUN 05 2006

IAP55

## Fee Transmittal For Payment Of Fee Under 37 CFR 1.17(p)

Docket No.

46521-56177

In Re Application:

McCormick, James B.

Serial No.

10/723,692

Filing Date

11/26/2003

Examiner

Ludlow, Jan M.

Group Art Unit

1743

Title:

Histological Specimen Retaining Device

## Payment Of Fee Under 37 CFR 1.17(p)

A check in the amount of \_\_\_\_\_ is attached.

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| <p>Substitute for form 1449A/PTO</p> <p>JUN 05 2006</p> <p><b>SUPPLEMENTAL<br/>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p> <p>Sheet <b>1</b> of <b>1</b></p> |  | <i>Complete if Known</i> |                     |
|   |  | Application Number       | 10/723,692          |
|   |  | Filing Date              | 11/26/2003          |
|   |  | First Named Inventor     | McCormick, James B. |
|   |  | Art Unit                 | 1743                |
|   |  | Examiner Name            | Ludlow, Jan M.      |
| Attorney Docket Number  |  |                          |                     |
| 46521-56177   |  |                          |                     |

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date  | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------------------|-----------------------|---|-------------------|---|---|----------------|
|                                |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY        |   |   |                |
|                                |                       | Int'l Search Report for PCT/US2005/042933                                       | Not Yet published | McCormick                                       |   |                |
|                                |                       | EP 0245969 A2   | 11/19/1987        | Cambridge Instrument Co.                        |   |                |
|                                |                       | EP 1321757 A2   | 06/25/2003        | Ventana Medical Systems                         |   |                |
|                                |                       | EP 0807807 A1   | 11/19/1997        | Takezaki  |   |                |

|                       |  |                    |
|-----------------------|--|--------------------|
| Examiner<br>Signature |  | Date<br>Considered |
|-----------------------|--|--------------------|

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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